

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application	10/008989	
	Filing Date	11/02/2001	
	First Named	FELT, et al.	
	Group Art Unit	1723	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	BC-0264-US02

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Certificate of Express Mailing, Copy of Notice to File Missing Parts of Nonprovisional Appl., Declarations for Utility or Design Patent Appl., Powers of Attorney, Return card.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Peter B. Scull, Reg. No. 37,932 (Customer No. 24994)
Signature	
Date	February 5, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: EV 008327834 US	
Typed or printed name	Yanmin Huang
Signature	
Date	02/05/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002		Complete if Known	
		Application Number	10/008989
Patent fees are subject to annual revision.		Filing Date	11/02/2001
		First Named Inventor	FELT, et al
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Examiner Name	Not yet assigned
TOTAL AMOUNT OF PAYMENT		Group Art Unit	1723
		Attorney Docket No.	BC-0264-US02

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> Deposit Account:		Large Entity Small Entity	
Deposit Account Number		Fee Code	Fee (\$)
203-2316		105	130
Deposit Account Name		205	65
Gambro, Inc.		Surcharge - late filing fee or oath	
The Commissioner is authorized to: (check all that apply)		Fee Description	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		Fee Paid	
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application		130.00	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1)			
2. EXTRA CLAIM FEES FOR UTILITY AND			
Extra Claims Fee from below Fee Paid			
Total Claims	-20** = 0	X	0.00
Independent Claims	-3** = 0	X	0.00
Multiple Dependent			
Large Entity Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9
SUBTOTAL (2)		\$0.00	
**or number previously paid, if greater; For Reissues, see above			
		3. ADDITIONAL FEES	
		Large Entity Small Entity	
		Fee Code	Fee (\$)
		105	130
		205	65
		Surcharge - late filing fee or oath	
		127	50
		227	25
		Surcharge - late provisional filing fee or cover sheet	
		139	130
		139	130
		Non - English specification	
		147	2,520
		147	2,520
		For filing a request for ex parte reexamination	
		112	920*
		112	920*
		Requesting publication of SIR prior to Examiner action	
		113	1,840*
		113	1,840*
		Requesting publication of SIR after Examiner action	
		115	110
		215	55
		Extension for reply within first month	
		116	400
		216	200
		Extension for reply within second month	
		117	920
		217	460
		Extension for reply within third month	
		118	1,440
		218	720
		Extension for reply within fourth month	
		128	1,960
		228	980
		Extension for reply within fifth month	
		119	320
		219	160
		Notice of Appeal	
		120	320
		220	160
		Filing a brief in support of an appeal	
		121	280
		221	140
		Request for oral hearing	
		138	1,510
		138	1,510
		Petition to institute a public use proceeding	
		140	110
		240	55
		Petition to revive - unavoidable	
		141	1,280
		241	640
		Petition to revive - unintentional	
		142	1,280
		242	640
		Utility issue fee (or reissue)	
		143	460
		243	230
		Design issue fee	
		144	620
		244	310
		Plant issue fee	
		122	130
		122	130
		Petitions to the Commissioner	
		123	50
		123	50
		Processing fee under 37 CFR § 1.17(q)	
		126	180
		126	180
		Submission of Information Disclosure Statement	
		581	40
		581	40
		Recording each patent assignment per property (times number of properties)	
		146	740
		246	370
		Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	740
		249	370
		For each additional invention to be examined (37 CFR § 1.129(b))	
		179	740
		279	370
		Request for Continued Examination (RCE)	
		169	900
		169	900
		Request for expedited examination of a design application	
		Other fee (specify)	
		SUBTOTAL (3)	
		\$130.00	

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Peter B. Scull	Registration No. (Attorney/Agent)	37932
Signature		Telephone	303-205-2560
		Date	February 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

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#3

Docket No. BC-0264-US02

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: **FELT, et al.**

Serial No.: **10/008,989**

Filed: **11/02/2001**

For: **Fluid Separation Devices, Systems and/or
Methods Using a Fluid Pressure Driven
and/or Balanced Approach**

Customer Number: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

Box Missing Parts
Commissioner For Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Sir,

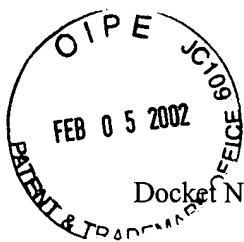
In response to the Notice to File Missing Parts mailed January 23, 2002, I have enclosed two executed Declarations and Powers of Attorney. Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Respectfully submitted,

February 5, 2002
Date

Peter B. Scull
Peter B. Scull
Registration No: 37932
Gambro, Inc.
10810 W. Collins Ave.
Lakewood, Colorado 80215
303-205-2560

Attachment



Docket No. BC-0264-US02

02-11-02

HT

SECTOR /
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **FELT, et al.**

Serial No.: **10/008,989**

Filed: **11/02/2001**

For: **Fluid Separation Devices, Systems and/or
Methods Using a Fluid Pressure Driven
and/or Balanced Approach**

Customer Number: **24994**

Group Art No: **1723**

Examiner: **Not yet assigned**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

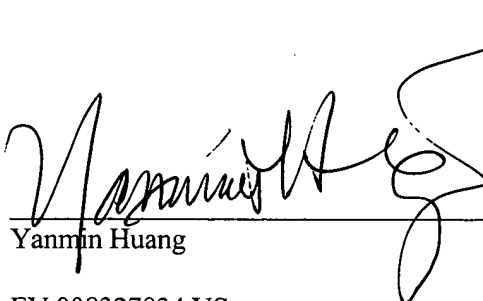
I hereby certify that the following documents:

1. **Certificate of Express Mailing (1 page)**
2. **Transmittal Form (1 page)**
3. **Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)**
4. **Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)**
5. **Response to Notice to File Missing Parts of Nonprovisional Application (1 page)**
6. **~~Declarations for Utility or Design Patent Application (4 pages)~~**
7. **Power of Attorney executed by Thomas J. Felt (1 page)**
8. **Power of Attorney executed by Dennis J. Hlavinka (1 page)**
9. **Return Card**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

on this date of 02 / 05 / 2002


Yanmin Huang

EV 008327834 US
Express Mailing Label Number

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,989	11/02/2001	Thomas J. Felt	BC-0264-US02

CONFIRMATION NO. 5833

FORMALITIES LETTER



OC00000007346849

24994
GAMBRO, INC
PATENT DEPARTMENT
10810 W COLLINS AVE
LAKEWOOD, CO 80215

Date Mailed: 01/23/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

B7/abfew01b

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 SZEMDIE1 00000027 032316 10008989

01 FC:105 130.00 CH